**INVESTIGATION OF SUBSTITUENT EFFECTS BY**

**13C NMR SPECTRA**

Transmission of the Substituent Effects Studied by 13C-NMR

Chemical Shifts

Soon after the first systematic studies of the, 13C NMR of aromatic  
compounds it became evident that 13C SCS are likely to be useful for the three reasons.

i) The inherently high dispersion of 13C SCS means that data would be obtained with fairly high precision, ii) There appears to be a close relationship between the 13C chemical shift of a nucleus and the calculated charge density at that atom, thereby reinforcing the supposed parallelism with substituent electronic perturbations, iii) The ,13C probe does not itself introduce any perturbation. Consequently, 13C chemical shifts have been used extensively as monitors of molecular structure and electronic distribution.

l3C NMR chemical shift measurements are increasingly used for the investigation of the electronic effects of the substituents and their mode of transmission through aromatic and other unsaturated systems. In benzene derivatives, a substituent exerts a characteristic substituent effect on the chemical shifts of the ring carbon atoms. The carbon shielding and the chemical reactivity parameter are reflecting the changes in local charge density arising from the electronic influence of the substituent and hence these two quantities can be correlated.

The substituents may affect the local charge density of an aromatic carbon by various effects (Iσ, F, πσ, πF, R, πorbital and σπ*).* These changes in charge density at the aromatic carbons affect the paramagnetic shielding term79. Electron-releasing substituents delocalize their lone pair of electrons into the π*-* system and increase the charge density at the *o-* and *p-* carbons of benzene derivatives. Electron-attracting substituents can delocalize the π*-*electrons of the ring and thereby reduce the charge density at the *o-* and *p-* carbons. Thus, electron releasing substituents shield the *o-* and *p-* carbons while electron attracting substituents have deshielding influence.

The effect of substituents in the ring on the chemical shifts of side-chain carbons is of obvious interest, especially in those cases where the side-chain carbon is conjugated with the ring. This allows resonance interaction with substituents to take place and the effect of distance on the extent of such interactions can be explored. The chemical shifts of SP2 and SP carbons directly bonded to the ring have been observed to show ‘reverse’ substituent effects80,81, i.e. electron attracting substituents apparently increase the electron density on the carbon concerned whereas electron releasing substituent decrease it. This phenomenon has been attributed to the polarization of the π- system of the side chain.

Correlation of the chemical shift with substituent constant (e.g. Hammett σ) has been widely used to investigate the nature of the effect of the substituent of the physical properties of compounds. Electron density around the nucleus of interest (C, H) is mostly affected by the electron-donating and electron-withdrawing ability of the substituent. Therefore, a correlation between the observed chemical shift and any parameter representing such ability seems to be well-founded, would indicate that the effect of any other rate would be satisfactorily predicted by simply measuring the chemical shift of a given derivative, and there are numerous reports on the subject82. Correlation of these parameters, has now been applied, originally proposed to equilibria and reaction rates, to spectroscopic properties such as 1H and 13C NMR substituent induced chemical shifts (SCS) in recent years82- 89.

The proton chemical shifts are influenced by magnetic anisotropies of neighboring groups and by intermolecular (e.g. solvent) effects. In contrast with 1H NMR chemical shifts, 13C chemical shifts are relatively insensitive to the magnetic anisotropy effects90 and to solvent and concentration effects91.

Extensive correlations of 13C chemical shifts in mono-substituted benzenes with Hammett σconstants were first reported by Spieseck and Schneider92, following the pioneering studies of Lauterbur93. It was observed that the chemical shifts measured for the *para*-ring carbons δ (Cp)of a series of mono-substituted benzenes was approximately related to the *σ+*pvalues of the substituents91,94. Attempts to find analogous relationships for δ(Cm)of mono substituted benzenes have met with varied success92,94,95. Schulman et al.94 have shown that δ (Cm) values of the substituents with non-bonding electron pairs correlate well with σ+m, but those of the remaining substituents give a less satisfactory correlations.

A report on the correlation of NMR chemical shifts with Hammett values and analogous parameters96 has been given as,

δ= ⍴σ+ δo (15)

In Eq. (15), δis the chemical shift; σis an appropriate reactivity (Hammett) parameter such as σ*+*p, σ*-*p*,* σm*,* σI, σR (BA), σoR, σ+R, σ-R. ⍴ is a proportionality constant and δo is the intercept. For several chemical structures, single parameter relationship does not give satisfactory correlations. Therefore, several dual substituent parameter (DSP) extensions of the Hammett approach have been developed. Among them the most important are Swain and Lupton22 (Eq.16) and Ehrenson et al.97 (Eq.17).

δ =ƒ F+ r R + δ*°* (16)  
δ *=* ⍴I σi *+* ⍴r σr +δ*°*  (17)

The important difference between the two is that Swain and Lupton apply a single set of F and R values whereas Ehrenson et al.98 allow a choice of four distinct σR scales (σR (BA), σ°R, σ-R and σ+R). Yukawa and Tsuno99 proposed equation (4) for dealing with the enhanced resonance effects and they modified the Hammett equation (Eq. 18) as follows,

δ *=* ⍴σ + r (σ+ - σ) + δo (18)

the quantity 'r' is a proportionality constant giving the contribution of the

enhanced resonance effects for +M substituents.

The DSP analysis of sterically congested systems has been improved by TSP by using Charton’s steric parameter using Eq. (19).

δ *=* ⍴I σI*+*⍴r σr + φν+δ*°* (19)

The DSP equation (17) is the most generally useful treatment and is well suited for the analysis of spectroscopic data. In equation (17), the derived ⍴I and ⍴rvalues which are position-dependent, give a direct measure of the relative transmission of inductive and resonance effects. The DSP method represents a general approach for the correlation of substituent effects over a large range of different data sets. The generality is due to the independence of the ⍴Iand ⍴rtransmission coefficients. Since inductive and resonance effects are transmitted by different mechanisms100 their relative importance may change from one system to another. This feature cannot be accommodated101 in a single parameter approach. The answer to the question whether a DSP method or a single parameter equation will best describe the SCS of the various systems is a subject of controversy. Last two decades papers may be mentioned to exemplify this. Cornelis et al.102 and Bottino et al.103 by an examination of a number of styrene derivatives reached the conclusion that the DSP treatment in general is not significantly superior to the simpler single parameter treatment. But Cornelis data enabled Craik and Co-workers101 to show the power of the DSP method. Anu *et al****.***104also have proved the power of the DSP method. It may be concluded that,

i) while in some situations there might be no significant important in fits obtained by the DSP method compared with single parameter treatment, this is not so in the general case, and

ii) the ⍴Iand ⍴rvalues obtained from the DSP analysis are extremely useful in assigning mechanistic significance to proposed pathways for the transmission of substituent effects.

The relative importance of the resonance and inductive effects is expressed by blending factor (λ) obtained as the ratio of the coefficients r and ƒ or ⍴Iand ⍴r.

**The Nature and Mechanism of Transmission of Electronic Effects**

In order to assess the influence of substituents on the chemical shift of organic molecules a clear understanding of the nature and transmission of the different types of substituent effects is necessary.

**The Inductive Effect**

The basis of this electronic perturbation is originating in part from differences in electro-negativity which cause polarization of both σ- and π- bonds

and also from electrostatic effects experienced at the reaction center due to charges and dipoles resident on the substituent. A polarization of both σ- and π- bond by the substituent group is known as inductive effect Fig. (1), becoming progressively attenuated. The other, known as a field effect Fig. (2) is propagated through space and depends more for its intensity on proximity than on the number of bonds separating source and receptor. The electronic dipole field of the polar substituent-substrate bone can influence the reaction center across the space and this is called field effect105-109.

δ+ δ+ δδ+

X-CH2-CH2-CH2-

Fig(1)



Fig (2)

The substituent effects in various aromatic systems act through polarization of π-electrons whether the polar nature of a substituent or substituent-carbon bond can polarize a π-system without charge transfer. This has been generally referred to as a π- inductive effect110, which can arise in two ways, i) induction of charge differences on the underlying σ- framework may lead to compensating changes in the π- electronic distribution and is designated as πσ effect105,111,112. The partial charges on CH2-X, which in turn cause a redistribution of charge by an alternately polarization of π- electrons as in Fig. (3). ii) the π-system may also be polarized by a through-space electrostatic interaction with a remote dipole and this effect is termed as πF effect.



Fig (3)

The π- system may also be perturbed by repulsive interactions with neighbouring filled orbital on the substituent. This orbital repulsion effect is designated as πorbital Fig. (4).



Fig (4)

The π-electron system may also be polarized as in Fig. (5) by charge or   
dipoles located on the substituent. This effect called **π**-polarization, is basically  
different form π-inductive effect in the magnitude of charge density reorganization at *ortho*- and *meta-* positions.



Fig. (5)

**The Resonance Effect:**

The interaction of substituent orbitals of suitable systems with the π-orbitals of the ring can lead to charge transfer either to or from the substituent and this is called resonance effect (R). In order to exercise a resonance effect, a substituent must possess a p- or π- orbital which is available to conjugate with the π-MOs of the aromatic system.

(i) X- is a donor group and typically possesses an unshared electron pair or **π-**electrons on atom directly attached to the ring.  
 -NR2, -OR, -SR2, -Cl, -CH= CH2  
 (ii) substituents Z have a π*-* acceptor centre adjacent to the ring.



This effect, important in systems such as C6H5-X, is transmitted to a remote

probe site (Fig. 6) through the π-systems in appropriate molecules such as biphenyls etc.,



Fig. (6)

In systems like C6H5-CH2-Y, hyperconjugation113 (Fig. 7) involving σ-π  
bond interaction accounts for the resonance properties. A final possible effect is  
any perturbation of σ-electron populations arising from a change occurring in the  
π-system and this has been designated as σ- πeffect (σπ).



Fig. (7)

Of the various modes of transmission of electronic effects, the Iσ, F, πσ, and

πF effects depend on substituent polarity, while R and σπ, effects depends on charge transfer ability.

**Recent Studies on the Substituent Effects on 13C Chemical Shifts**

Aleksandar D.Marinkovic et al.83 studied on ,13C SCS with N-l-p-substituted phenyl-5-methyl-4-carboxy uracils. They presented calculation relative to the polar and resonance effects accounts satisfactorily for substituent effects at uracil carbon atoms. Negative ρ values were found for several correlations shows reverse substituent effects.

Natasa V. Valentic *et* al.84 reported that linear free energy relationships  
(LFER) were applied to the 1H and 13C NMR chemical shifts in the unsaturated  
backbone of cross-conjugated trienes 3-methylene-2-substituted-l,4-pentadienes.

Pal Perjesi and Co-workers85 reported that the SSP and DSP analyses were applied to study the transmission of substituent effects on selected 13C NMR chemical shifts of the cyclic chalcone analogues, E-2-(4'-X-benzylidene)-l tetralones and E-2-(4'-X-benzylidene)-l-benzosuberones

.M. Misic-Vukovic et al.86 studied correlation analysis of IR, 1H NMR and

UV spectral data of alkyl and aryl 4,6-disubstituted-3-cyano-2-pyridones using SSP and DSP as well as the more sophisticated multiparameter regression approaches. B.Z.Jovanovic et al.88 studied 13C NMR spectra of two series of pyridine chalcone analogs and discussed the transmission of electronic effects.

A D Marinkovic *et al.*114studied the l3C chemical shifts of the azomethine  
carbon atom for N- (substituted phenyl methylene)-3- and -4- amino benzoic acids having a wide range of substituent effects. The demand for electrons by the  
azomethine carbon atom in both investigated series has been compared, discussing the mode of transmission of substituent effects, both inductive and resonance,in relation to the geometry of investigated imines.

Pal Perjesi *et* al.115 studied SSP and DSP analysis was applied to study the  
transmission of substituent effects on IR carbonyl stretching frequencies E-2-(X  
benzylidene)- l -indanones, -tetralones and -benzosuberones. Similar analyses  
were performed for selected 13C NMR chemical shifts of some E-2-(X  
benzylidene)-l-indanones.

I. Wawer *et al.*116studied on the substituent effects and the molecular  
planarity by 1H and 13C NMR and AMI calculations of some azobenzenes and N  
benzylidene anilines.

S.Z. Dramanic *et al.*117studied the effect, of substituents on the 13C NMR  
chemical shifts of *para-* substituted α-phenyl-β-pyridylacrylic acids, using linear  
free energy relationships and multiple regression analysis as applied to 13C NMR  
chemical shifts of the C α and C β of the ethylenic bond and the carboxylic group  
carbon.

V A. Lopyrev *et al.*118reported the transmission of the substituent effects in 2-substituted-1-methylbenzimidazoles by 13C NMR, the electronic effects of the substituents are transmitted to C-4 and C-7 mainly by the resonance mechanism, and to C-5, C-6 and N-CH3, by approximately equal contributions of the resonance and inductive components.

Hiroko Suezawa et al119 studied the substituent effects on the 1H, 13C and 15N NMR spectra of a series of substituted benzanilide, XC6H4NHC6H4Y, measured in four solvents of different polarity were correlated with the electronic effects substituents by the use of the Hammett equation.

Mona jaiswal *et al*120studied the QSAR calculation of 13C NMR chemical shifts on carbinol carbon atoms have been attempted using a largest of distance based topological indices.  
 C.A.Van Walree *et a1*121reported some 29Si and 13C NMR studies were performed on series of 4-substituted phenyl trimethyl silanes and 4-substituted and 4,4’ -disubstituted diphenyl dimethyl silanes, within each series linear relationships are found between the chemical shifts and the Hammett substituent constant σp*.*

Kyu ok jeon *et al*122 prepared a series of m and p-substituted benzyl  
derivatives of pyrrole and tetra methyl 1-benzyl-3a,7a-dihydro indole and their 13C chemical shifts were correlated with SSP and DSP.